

Reducing Underwater Noise through Underwater Noise Management Plans

Comments by the Wildlife Conservation Society Canada

March 14, 2019

These comments are in response to Transport Canada's January 2019 Technical Discussion Paper *Reducing Underwater Vessel Noise through Underwater Noise Management Plans*. They address questions posed in that paper, preceded by general comments about the overall approach as well as factors to be considered when adapting a national approach to Arctic circumstances.

Summary of key recommendations

- 1. Underwater Noise Management Plans need to be specifically developed for Arctic circumstances. There's an opportunity for proactive planning in the Arctic, where anthropogenic noise levels are low compared with other oceans but where increasing ship traffic will therefore have a relatively high impact. Anthropogenic noise thresholds should therefore be lower in the Arctic. However, this also means that Arctic-specific UNMPs can be developed with the aim of preventing anthropogenic noise from becoming a serious issue in the first place, rather than simply mitigating an existing problem.
- 2. Fleet-level approaches do not supplant the need for other measures. Regional restrictions are aimed at minimizing impact in particularly sensitive areas, and maximum noise limits on individual vessels address the specific impacts caused by particularly noisy ships. These measures are important, and fleet-level measures that lower overall fleet volume profiles don't address these issues.
- 3. Underwater Noise Management Plans should allow for regular review and improvement. Our understanding of noise and its impacts is continuing to evolve, and therefore any UNMP program and the UNMPs themselves will need to be subject to regular review and continual refinement. The shipping industry, the scientific community and regulators should wherever possible be working together in a collaborative manner to ensure that research addresses priority needs, and that research findings are incorporated into the UNMPs on an ongoing basis.

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About Wildlife Conservation Society Canada

WCS Canada's (<u>www.wcscanada.org</u>) mission is to save wildlife and wild places in Canada through science, conservation action, and inspiring people to value nature. Our trademark is "muddy boots" biology, which we do by getting in the field and conducting the necessary research to fill key information gaps on Canada's fish, wildlife, and ecosystems. We then use relevant information and our expertise, working with Government and regulatory agencies, conservation groups, indigenous communities and industry, to resolve key conservation issues.

WCS Canada is a national affiliate of the Wildlife Conservation Society, which has been working in the Arctic since 2002, with the Arctic Beringia program formally established in 2011. Our work in the Canadian Arctic has focused to a large extent on what can be learned from passive monitoring of the acoustic environment of Arctic waters; gathering information on the activities of marine mammals, fish and ships, and the impact of ship traffic on the behaviour of the wildlife, and using that knowledge to model impacts and the efficacy of mitigation measures.

Limitations of a fleet-level approach

As described in the Technical Discussion Paper, Underwater Noise Management Plans (UNMPs) are intended to be developed at the fleet level, and not for individual vessels. Three approaches are proposed: 1) defining fleet-level mitigation measures; 2) setting fleet-level noise reduction targets; or 3) creating a points-based system. In our responses below to the questions posed by the discussion paper, we consider and respond to these options, but at the outset we'd like to highlight a significant short-coming of fleet-based approaches to mitigating underwater noise. Fleet-level plans can, if they are effective, reduce the overall average noise levels, while giving flexibility to fleet operators to determine how best to achieve these reductions. However, without there also being maximum noise limits set there remains a risk that individual ships might continue to emit noise at dangerous levels. When it comes to localized impacts, peak noise from individual vessels can be particularly harmful, even when overall average noise levels across an entire fleet are reduced. Therefore, it will be important to accompany any fleet-level UNMPs with defined maximum peak noise volumes for individual vessels. In other words, whichever target or points-based system is ultimately adopted, it'll be important to supplement that guidance with specific mitigation measures aimed at keeping peak noise volumes below defined thresholds.

Arctic circumstances

Circumstances in the Arctic Ocean differ notably from those in Canada's other oceans, and this distinction should be reflected in the way UNMP guidance is developed and implemented. Overall ship traffic is significantly lower than in other oceans, and the peak traffic areas are considerably less heavily used than high-traffic areas in other coastal regions. This might suggest that the challenge of managing underwater noise is of a lesser level of concern. This would be a mistake however, since underwater noise from shipping in the Arctic is projected to rise in the coming decades. In absolute terms, the Arctic is likely to remain quieter than many regions around the world where anthropogenic activity is particularly intense. But the *relative* change may be dramatic. When ambient levels are low the introduction of anthropogenic noise will have a greater impact than in a region where the ambient levels are already high. What's significant about this is that, for Arctic wildlife including marine

mammals, fishes and invertebrates, the relative increase in sound levels and/or new noise sources may result in behavioural impacts, even if the absolute volume remains lower than in noisier oceans.

Put most simply, Arctic wildlife are not acclimated to noisy environments and therefore may be disproportionately affected by even modest noise increases. Therefore, UNMPs should factor in both broad regional considerations (e.g. reflecting arctic circumstances) as well as specific targets geared to regions known to have particular concentrations of marine mammals.

There are other characteristics of the Arctic acoustic environment that are distinctive to or more prevalent in polar regions. There are noise sources that are particular to those areas, including natural noises caused by ice formation and break-up as well as anthropogenic noises emitted by ice breakers ramming into ice. Furthermore, sound propagates differently in Arctic waters. Sound can become trapped in the Arctic sound channel near the surface of the water and propagate over much greater distances at shallower depths than in non-Arctic waters. Perhaps most importantly, the culture and livelihoods of Indigenous peoples in the Arctic depend on the continued health of marine mammals to a greater degree than in other regions of the world. Noise impacts affecting the behaviour of these mammals and thus the ability of hunters to be successful will be immediately felt in these communities and therefore deserve proactive attention.

Moreover, experience gained in Atlantic and Pacific waters demonstrates that by the time noise levels reach the point where mitigation measures are being considered the impacts are already unacceptably high, and the "ratchet effect" makes it relatively difficult to move towards reduced noise levels. In the Arctic there's an opportunity to implement proactive measures, but this will require an overall approach that puts a stronger emphasis on precautionary measures than would necessarily be feasible in other waters.

Our further comments below respond to the questions posed in the 'Seeking Your Views' sections of the discussion paper.

Section 3 Underwater Noise Management Plans

What should be the trigger for requesting or requiring development of a UNMP?

Any new routes or operational conditions should trigger a UNMP, especially if the route transits rich or biologically important areas.

3.2 Targeted Underwater Noise Reductions

Should fleet owners be responsible for setting individual targets in their plan?

The discussion paper outlines options in which targets are based on regional baselines, or all fleets reduce noise by a set amount. In neither of these cases would the fleet owner be responsible for setting a target. It's not reasonable to expect fleet owners to understand the issue in sufficient detail to set their own targets.

A regional baseline may be logistically and technically easier to achieve than a fleet-based baseline. What advantages or disadvantages do you see for a system in which each organization's contribution to achieving a regional goal differs?

A regional baseline is a necessary first step. However, in order to distribute responsibility for meeting a noise reduction target across multiple operators within a region it'll be important to also develop fleetbased baselines. These data can be used to estimate the contribution of the fleet to the regional background noise level and will be important in determining priorities for reducing overall noise levels.

Should noise reduction targets be a number of decibels (broadband) or within a specific frequency range?

Noise reduction targets should be set for broadband noise levels (reducing general impact) as well as for specific frequencies, aimed at mitigating impacts on particular species of concern in a particular region. In addition to absolute noise levels, of particular concern regarding noise impact on animals is narrow-band acoustic energy (e.g. tonal peaks). This noise feature should be identified and prioritized for mitigation for each vessel type. These noise types are often much more audible at low levels and often cause strong reactions among animals, even at low levels. This is an important aspect of underwater noise impact that is not currently well understood. The important point to note is that noise disturbance is not always simply related to absolute noise level.

The science is definitely evolving which must be made clear to industry (in this case shipping) so that they do not interpret updates as "moving the goalposts". An ideal scenario is for industry to be directly involved in the science through collaborations so that the details that are evolving are more clearly appreciated. The primary aspect of the science that is evolving is the understanding of animal impacts. As this evolves (as noted above), we often find that the absolute acoustic level (i.e. in decibels or dB) is not as important as other aspects of the noise (e.g. tonality, amplitude fluctuations, signal onset time, or biological salience). Of particular difficulty is understanding the relationship of signal salience (i.e. meaning; for example, does it sound like a predator) to impacts. The more frequently the criteria are updated, with industry as closely linked as possible, the better.

What advantages and disadvantages do you see in using a points-based system, which does not rely on hitting a set noise reduction target or measuring baselines in order to achieve noise reductions? A points-based system would certainly be easier to implement, but it's unlikely to be effective. Fleet operators will gravitate towards implementing the measures that achieve the required points with the least effort, but unless the point system is flawlessly calibrated (which is virtually impossible to do) those measures won't result in proportionate noise reductions. Moreover, it will be very difficult to monitor the efficacy of such a system.

3.3 Target Groups

How should vessels be targeted for the development of UNMPs (e.g. by location, noise level, vessel size, class, fleet size)? Should UNMP requirements be the same for all groups?

Vessels should be targeted based on their contribution to overall noise levels, with supplementary criteria that would apply in areas where there are known concentrations of marine mammals. UNMP requirements should be adapted for specific vessel types, so that there are incentives to reduce noise

levels for all vessel types, more stringent reduction requirements for noisier vessels and absolute limits on peak volumes.

3.5.2 Baseline information

Baseline information should include acoustic source levels for each vessel and normal operating conditions (e.g. speed). This would be the responsibility of fleet owners, using commonly established criteria (size, engine/propeller type, etc.), and is needed in order to calculate a fleet baseline. This should be supplemented by background noise baselines for particular regions, which is used to set overall noise reduction targets.

3.6 UNMP review

Should UNMPs be made publicly available online, filed with Transport Canada, or kept by the business owner/operator?

As noted in the discussion paper, UNMPs will need to be reviewed by Transport Canada (which may use an accredited third party to carry this out on behalf of Transport Canada). It will, however, be important for these plans to be made available online, with whatever detail is appropriate. This transparency will allow for expert review to ensure that the measures are evidence-based and reflect evolving information about the issue. It will enhance the public relations benefit of these plans to fleet owners, and will hopefully encourage continual improvement within plans, as fleet owners review the UNMPs of other fleets and respond by making incremental improvements to their own plans.

4. Potential Underwater Noise Mitigation Measures

What resource materials are you aware of that could be useful as guidance in support of development of UNMPs?

Attached to this submission is a matrix of recommendations and guidelines on anthropogenic underwater noise, compiled by Emily Chou, Brandon Southall and Howard Rosenbaum for WCS, with information drawn from Inter-Governmental Organizations and associated conventions, from Governmental organizations and from selected best-practise publications for mitigating underwater noise.

Additional source material, which is of particular relevance to Arctic conditions:

- Halliday WD, Insley SJ, Hilliard RC, de Jong T, Pine MK (2017) Potential impacts of shipping noise on marine mammals in the western Canadian Arctic. Marine Pollution Bulletin 123: 73-82. DOI: 10.1016/k.marpolbul.2017.09.027
- Pine MK, Hannay DE, Insley SJ, Halliday WD, Juanes J (2018) Assessing vessel slowdown for reducing auditory masking for marine mammals and fish of the western Canadian Arctic. Marine Pollution Bulletin 135: 290-302. DOI: 10.1016/j.marpolbul.2018.07.031
- Halliday WD, Pine, MK, Insley SJ. (2019 In Press) Underwater Noise in the Arctic: A State of Knowledge Report. Arctic Council PAME working group.

5. Success Factors of Effective Management Systems

Are there other key factors of success related to the successful development and implementation of UNMPs?

Our understanding of noise and its impacts is continuing to evolve, and therefore any UNMP program – and the UNMPs themselves – will need to be subject to regular review and continual refinement. The shipping industry, the scientific community and regulators should wherever possible be working together in a collaborative manner to ensure that research addresses priority needs, and that research findings are incorporated into the UNMPs on an ongoing basis.

We welcome the opportunity to comment on this discussion paper and look forward to continued collaboration on this important issue.

Prepared by:

Stephen Insley, PhD, Conservation Scientist, WCS Canada Martin von Mirbach, Director, Conservation Strategy, WCS Canada William Halliday, PhD, Associate Conservation Scientist, WCS Canada Synthesis of IGO, Governmental, and Selected Publications on General Awareness of Ocean Noise and Management & Monitoring Recommendations

Statements of General (with references for IGOs, conventions, government po		Management and monitoring recomment (with references for IGOs, conventions, government policy states	
Marine mammals depend on sound for important biological functions. These functions may be directly or indirectly affected by anthropogenic ocean noise	IWC/67/GEN/05/rev1; UNEP/CBD/MCB/EM/2014/1/2; UNEP/CMS/ Resolution 10.24 NOAA ONS; Hatch et al. (2016); EU MSFD	Ocean noise should be explicitly managed and monitored in order to better understand ocean soundscapes and reduce potential negative impacts of anthropogenic ocean activities	IWC Contribution to UN ICP Oceans and the Law of the Sea; SC/66b/REP/10; UNEP/CBD/MCB/EM/2014/1/2 NOAA ONS; Hatch et al., 2016; EU MSFD
Anthropogenic underwater noise has increased in recent decades in many areas	Nowacek and Southall (2016) IWC/67/05; UNEP/CMS/Resolution 9.19	Ocean noise management and monitoring should consider biologically relevant spatial and temporal scales in order to identify important places and periods, support marine spatial	Nowacek et al. (2015) IWC Contribution to UN ICP Oceans and the Law of the Sea; SC/66b/REP/10; UNEP/CBD/COP/DEC/XII/23; UNEP/CMS/ Resolution 10.24; MOP8/Doc.6.2.7.b Rev. 1 Section C.1 – C.2
due to various industrial activities	NOAA ONS; EU MSFD	planning and evaluate potential cumulative impacts	NOAA ONS; Harrison et al., 2016; Hatch et al., 2016; Nowacek et al. (2015); Nowacek and Southall (2016)
Anthropogenic ocean noise is inherently transboundary in nature and is thus a global issue that will benefit from broad partnerships	IWC/67/GEN/05/rev1; IWC 2017b; UNEP/CMS/Resolution 9.19; UNEP/CMS/ Resolution 10.24; NOAA ONS; Hatch et al., 2016 EU MSFD Nowacek et al. (2015)	Management and monitoring may be necessarily focused on key species and habitats in some cases, but should also have broader ecosystem perspectives	SC/66b/REP/10; UNEP/CMS/Resolution 9.19; UNEP/CMS/ Resolution 10.24 Section C.1- C.2 NOAA ONS; Hatch et al. (2016) Nowacek and Southall (2016)
Chronic sources of noise, notably commercial shipping, have the greatest overall contributions to anthropogenic ocean	MEPC.1/Circ.833; UNEP/CBD/MCB/EM/2014/1/2; UNEP/CMS/Resolution 9.19	Anthropogenic noise generating activities should be characterized in spatial, temporal, spectral parameters using systematic methods (<i>e.g.</i> , Automatic Identification System (AIS) tracking) and measurement standards; data should be made transparently	SC/65b/Rep03 SC/66b/REP/10; IWC Contribution to UN ICP Oceans and the Law of the Sea UNEP/CBD/COP/ DEC/XII/23; UNEP/CMS/ Resolution 10.24
noise		available	EU MSFD; NOAA ONS; Hatch et al., 2016 Nowacek et al. (2015)
	Harrison et al., 2016; Hatch et al., 2016	Technological measures should be taken to reduce, measure, and evaluate the contributions of anthropogenic ocean noise	MEPC.1/Circ.833; UNEP/CBD/MCB/ EM/2014/1/2; UNEP/CBD/COP/ DEC/XII/23 EU MFSD; NOAA ONS; Hatch et al., 2016 Nowacek et al. (2015); Nowacek and Southall (2016)

Synthesis of IGO, Governmental, and Selected Publications on Noise Impact Mitigation Measures and Quieting Recommendations

Anthropogenic Ocean Noise Mitiga (with references for IGOs, conventions, government per			
Efforts to mitigate and reduce negative impacts of ocean noise should not be delayed until there is full scientific certainty about the	IWC/66b/REP/10; IWC/67/GEN/05/rev1; IWC 2017; UNEP/CBD/MCB/EM/2014/1/2; UNEP/CBD/COP/ DEC/XII/23; UNEP/CMS/Resolution 9.19	Specific technological and operational measures should be implemented to reduce incidental noise output and limit deliberate	MEPC.1/Circ.833 Section 10.4 & 10.5; UNEP/CBD/MCB/EM/2014/1/2; IWC 2014 Overview; MEPC.1/Circ.833; UNEP/CBD/COP/ DEC/XII/23; UNEP/CMS/ Resolution 10.24
types and scope of potential issues	EU contribution to UN ICP; EU MSFD	ns) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventions, government policy statements, selected publications) (with references for IGOs, conventional measures should be implemented to reduce incidental noise output and limit deliberate inoise-generating activities to the extent possible (with references for IGOs, conventional measures should be on propulsion large commercial vessels; initial focus should be on propulsion systems (with references for reduction of shipping noise and evaluation of efficacy (with references for quieting technologies should be considered and applied in parallel with or in addition to any requirements/regulations (with references for quieting technologies should b	
	Nowacek et al. (2015)		Nowacek et al. (2015); Nowacek and Southall (2016)
Anthropogenic noise should be reduced in order to maintain and/or restore natural ocean soundscapes. Specific objectives and limits have been proposed and international partnerships encouraged	SC/65b/Rep03; SC/66b/REP/10; IWC 2014 Overview; UNEP/CMS/Resolution 10.24; UNEP/CMS/Resolution 9.19; UNEP/CBD/COP/ DEC/XII/23;	Highest priority globally for noise reduction efforts should be on	MEPC.1/Circ.833 Section 7.2; 7.3;
	EU contribution to UN ICP; Directive 2008/56/EC of the European Parliament and of the Council; EU Decision 2017/858; HELCOM NOAA ONS Roadmap	large commercial vessels; initial focus should be on propulsion	EU MSFD
	Nowacek et al. (2015)		
Mitiantian management should improve include	SC/65b/Rep03; SC/66b/REP/10; MEPC/73/18/4;		MEPC.1/Circ.833 Section 10.4 & 10.5; MEPC.1/Circ.833;
Mitigation measures should increasingly utilize new technologies and be adaptive to progress in research and monitoring	NOAA ONS; Harrison et al. (2016); Hatch et al. (2016)	1 1 0	AQUO, SONIC, SILENV, COMMON SENSE, HORIZON 2020 , BIAS, GREEN MARINE, FIBRESHIP projects (see detailed tables)
	Nowacek et al. (2015); Nowacek and Southall (2016)		
Mitigation and reduction of noise impacts	MEPC.1/Circ.833 Section 10.4 & 10.5; UNEP/CBD/MCB/EM/2014/1/2;	Incentive-based programs for quieting technologies should be	UNEP/CBD/MCB/EM/2014/1/2;
should include both technological (quieting)	Harrison et al. (2016)		
design measures as well as operational approaches	Nowacek and Southall (2016)	requirements/regulations	Nowacek et al. (2015); Nowacek and Southall (2016)

Recommendations and guidelines on anthropogenic underwater noise: Inter-Governmental Organizations (IGOs) and Associated Conventions

Whaling Commissionunderwater noise has increased rapidly due to activities such as shipping, seismic exploration, drilling, construction, etc.management of noise- generating activities is important to encourage identification of sensitive areas where management may needof marine activities to include noise to help reduce harmful impacts to cetaceans (IWC management of UNICPunited Nations Sustainablegovernments promote and facilitate the adoption of noise- and what be advantableWhaling commissionunderwater noise has increased rapidly due to activities such as identification of sensitive areas where management may needof marine activities to include noise to help reduce harmful impacts to cetaceans (IWC Oceans and the Law ofabsence of scientific certainty should not hinder cost-effective, noise-reducing managementUnited Nations Sustainable Development Goal 14 adoption of noise- adoption of noise- quietingship development facilitate the adoption of noise- and what practication	echnology mmendations
beyond areas of national jurisdiction, but anthropogenic underwater noise is not persistent and 	and retro-fit esigns to e noise should vanced when herever cable, within

	 populations, their prey, and other marine fish and invertebrates (IWC/67/GEN/05/rev1). Addressing anthropogenic underwater noise is crucial to meet United Nations Sustainable Development Goal 14 (SC/66b/REP/10; IWC/67/05). Continued co-operation with other organizations, support efforts of IUCN Joint Species Survival Commission/World Commission on Protected Areas Task Force on Marine Mammal Protected Areas (IWC 2017b). 	density to estimated loss of acoustic habitat from shipping noise (SC/66b/REP/10). Efforts to finalize a process to identify Important Marine Mammal Areas (IMMAs) should include information on anthropogenic noise into site selection and management, and reduce ocean noise in identified IMMAs where possible (SC/66b/REP/10).	from ships and ambient sound, and modeling (SC/65b/Rep03).	 modify parameters as new information becomes available (SC/65b/Rep03). Increase research efforts to better quantify masking, acoustic space and reduction in prey intake, noise impacts on other life functions other than foraging (SC/66b/REP/10). Consideration of possible impacts from unmanned aerial systems/drones (SC/66b/REP/10). Better understanding of masking release mechanisms, signal-to- noise ratio required for signal detection, recognition and communication needed (SC/66b/REP/10). 	pile driving, incorporate industry seismic exploration activities and production source types, and ice noise (SC/65b/Rep03).		
International Maritime Organization	Significant portion of anthropogenic underwater noise is generated by commercial shipping, which can have acute and chronic impacts on marine life (MEPC.1/Circ.833).		Evaluation should be undertaken to determine the success of measures adopted to reduce underwater noise in order to guide and enhance future measures (MEPC.1/Circ.833).	Routing and operations can provide an immediate benefit in reducing underwater noise, but ship design and maintenance provide better long-term solutions	Speed reductions and alternative routes to avoid sensitive habitats and migratory routes (MEPC.1/Circ.833 Section 10.5).	Successful strategies to reduce noise should consider interactions and contributions from other measures that address other objectives such as	Propeller design to reduce cavitation and ensure as uniform water flow as possible into the propeller (MEPC.1/Circ.833 Section 7.2).

		(MEPC/73/18/4).	For ships with fixed	reduction in onboard	
The technical and cost-			pitch propellers,	noise and energy	Hull: designed such
effectiveness of measures			reducing ship speed is	efficiency	that the wake field is
considered is dependent			effective in reducing	(MEPC.1/Circ.833).	as homogeneous as
on design, operational			underwater noise,	(, ·	possible
parameters, and			especially when ship		(MEPC.1/Circ.833
mandatory requirements			speed is lower than		Section 7.3).
of a particular ship			cavitation inception		
(MEPC.1/Circ.833).			speed. Ships with		Onboard machinery:
`````			controllable pitch		request sound level
			propellers might		information from
			consider optimum		manufacturer.
			combinations of shaft		Ensure proper
			speed and propeller		location of
			pitch		equipment in the
			(MEPC.1/Circ.833		hull. Diesel-electric
			Section 10.4).		propulsion, flexible
					couplings/resilient
					mountings, and
					vibration isolation
					mounts
					(MEPC.1/Circ.833
					Section 8).
					Additional
					technologies: state-
					of-the-art propellers,
					installation of wake
					condition devices,
					and air injection to
					propeller
					(MEPC.1/Circ.833
					Section 9).
					Maintenance: reduce
					surface roughness
					(MEPC.1/Circ.833

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							Section 10.1 – 10.3).
							Retro-fitting new Neo-Panamax ships for fuel-efficiency purposes typically also resulted in 8 dB reduction in the 100 – 1000 Hz frequency band and fuel savings (MEPC/72/16/5).
Convention	Anthropogenic	Development of	Develop standardized metrics and sound	Though long-term,	Areas that are critical	Regulators have an	Development and transference of
on Biological Diversity	underwater noise impacts marine and coastal	acoustic mapping in priority areas, including	measurements	cumulative effects of anthropogenic	for a short period of time (e.g. spawning	important role in incentivizing the	quieter technologies
Diversity	biodiversity and guidance	different types of	(UNEP/CBD/COP/	underwater noise are	sites, seasonal feeding	development of	(UNEP/CBD/COP/
	and toolkits to minimize	vessels and	DEC/XII/23).	largely unknown, policy	areas) can be	quieter technologies	DEC/XII/23).
	and mitigate adverse	measurement of source		action should address,	protected to avoid	(UNEP/CBD/MCB/	
	impacts should be	levels of ships to build	Concerns about long-	minimize and mitigate	interference	EM/2014/1/2).	
	considered	more complete map of	term, cumulative effects	potential impacts	(UNEP/CBD/MCB/		
	(UNEP/CBD/MCB/ EM/2014/1/2).	spatial and temporal distribution of sound	of underwater noise, which are largely	(UNEP/CBD/MCB/ EM/2014/1/2).	EM/2014/1/2).		
	LIVI/2014/1/2).	(UNEP/CBD/MCB/	unknown	LIVI/2014/1/2).	Compile mitigation		
	Ocean noise is intimately	EM/2014/1/2;	(UNEP/CBD/MCB/	Engage industry,	toolkits from different		
	linked to the well-being	UNEP/CBD/COP/	EM/2014/1/2).	relevant international	countries and tailor		
	of many marine species	DEC/XII/23).		and regional	them for countries just		
	and maintaining healthy		Conduct impact	organizations,	starting to address		
	marine ecosystem	Acoustic mapping	assessments and	governments, and	anthropogenic		
	(UNEP/CBD/MCB/ EM/2014/1/2).	should be combined with habitat mapping of	monitoring for activities that may have more	scientific groups to distribute relevant	underwater noise with respect to the		
	$121 \sqrt{1} / 2014 / 1 / 2 $ .	species of concern to	adverse impacts on	scientific information	country's socio-		
	Sound is crucial in	identify high-risk areas	sensitive species	and help stakeholders	economic status,		
	communication,	(UNEP/CBD/MCB/	(UNEP/CBD/COP/	understand scientific	culture, and scientific		
	navigation, orientation,	EM/2014/1/2).	DEC/XII/23).	information and advice	and technological		
	feeding and detection of			(UNEP/CBD/MCB/	capabilities		
	predators, and	Consideration of		EM/2014/1/2).	(UNEP/CBD/MCB/		

anthropogenic underwater	appropriate spatial and		EM/2014/1/2).	
noise can affect these	temporal scales based	Develop best		
functions, behaviors and	on length of time of	management practices,	Define and	
cause serious injury or	exposure and biological	recognizing that	differentiate between	
death (UNEP/CBD/MCB/	processes to determine	industries may have	types or intensities of	
EM/2014/1/2).	noise effects	their own best practices	underwater noise	
	(UNEP/CBD/MCB/	and that best practices	(UNEP/CBD/COP/	
Build national-level	EM/2014/1/2).	within industries and	DEC/XII/23).	
political awareness and	ŕ	countries may differ		
policy commitment to	Critical areas that are	depending on legislation	Consideration of	
address anthropogenic	occupied for short	(UNEP/CBD/MCB/	thresholds to protect	
underwater noise through	periods of time can be	EM/2014/1/2).	sound-sensitive	
workshops, knowledge	avoided, as well as		species	
exchange, web-based	sensitive time periods	Encourage Parties,	(UNEP/CBD/COP/	
tools, policy briefs, etc.	(UNEP/CBD/MCB/	governments,	DEC/XII/23).	
(UNEP/CBD/MCB/	EM/2014/1/2).	indigenous and local	,	
EM/2014/1/2).	<i>,</i>	communities, and		
, , , , , , , , , , , , , , , , , , ,	Mitigate and manage	relevant stakeholders to		
Build capacity in	anthropogenic	take appropriate		
developing regions by	underwater noise	measures to avoid,		
involving	through spatio-temporal	minimize and mitigate		
academic/research	management of	potentially significant		
institutions and engage	activities, spatio-	adverse impacts of		
NGOs and other civil	temporal knowledge of	anthropogenic		
society organizations to	species or population	underwater noise on		
address anthropogenic	distributions and ability	marine and coastal		
underwater noise.	to avoid generating	biodiversity		
Strengthen awareness on	noise in those areas	(UNEP/CBD/COP/		
environmental impact	during those times	DEC/XII/23).		
assessments (EIAs),	(UNEP/CBD/COP/	, ,		
guidelines and	DEC/XII/23).			
mechanisms to address	, , , , , , , , , , , , , , , , , , ,			
underwater noise issues	Link information on			
in these regions	noise impacts in			
(UNEP/CBD/MCB/	processes/management			
EM/2014/1/2).	plants related to marine			
	spatial planning and			

Encourage collaboration and communication among relevant international bodies (UNEP/CBD/MCB/ EM/2014/1/2).	area-based management (e.g. MPAs) (UNEP/CBD/COP/ DEC/XII/23).				
Convention on Migratory SpeciesAnthropogenic ocean noise is a form of pollution that can travel over hundreds of kilometers and across national boundaries, and can degrade habitat and have adverse impacts on marine life (UNEP/CMS/ Resolution 9.19).Human activities have contributed to a significant increase in ocean noise (UNEP/CMS/ Resolution 9.19).Parties to UNCLOS have an obligation to protect and preserve the marine environment and marine mammals (UNEP/CMS/ Resolution 9.19).High-intensity mid- frequency active sonar may contribute to incidents of standing and 	Integrate anthropogenic noise into management plans of MPAs (UNEP/CMS/ Resolution 10.24). Movement patterns and co-occurring disturbances should be considered in order to minimize exposure to noise and reduce cumulative impacts (MOP8/Doc.6.2.7.b Rev. 1 Section C.1 – C.2). Consider scheduling of noise-generating activities during period of low cetacean presence, and spatio- temporal avoidance of high density areas (UNEP/CMS/ Resolution 10.24 Section C.1 – C.3).	EIAs should take full account of the impacts of activities on cetaceans and consider noise-associated risks and potential impacts on marine biota and their migration routes for a more holistic approach (UNEP/CMS/ Resolution 9.19; UNEP/CMS/ Resolution 10.24). Consider noise source information in EIAs, and evaluate indirect impacts of noise displacement (UNEP/CMS/ Resolution 9.19; UNEP/CMS/ Resolution 9.19; UNEP/CMS/ Resolution 10.24 Section C.1 – C.2). Define noise source levels transmitted to the environment before start of an activity (UNEP/CMS/	Strongly urge Parties to prevent adverse effects on cetaceans and other migratory marine species by reducing the emission of underwater noise, keeping it to the lowest necessary level (UNEP/CMS/ Resolution 10.24). Where noise cannot be avoided, urges development of regulatory framework to ensure reduction or mitigation of anthropogenic underwater noise (UNEP/CMS/ Resolution 10.24). Apply best available techniques and best environmental practice in efforts to reduce noise pollution (UNEP/CMS/ Resolution 10.24).	Adopt mitigation measures for high intensity active naval sonar until transparent assessment of their environmental impact is complete to prevent impacts to important habitats and sensitive species (UNEP/CMS/ Resolution 9.19). Facilitate studies on the extent and potential impact of high-intensity active naval sonars and seismic surveys on the marine environment (UNEP/CMS/ Resolution 9.19).	Use of noise reduction techniques for offshore activities (e.g. air- filled coffer dams, bubble curtains, hydro-sound dampers, floating platforms, gravity foundations) (UNEP/CMS/ Resolution 10.24).

species (UNEP/CMS/	Resolution 10.24).	Stresses the need to		
Resolution 9.19).	Resolution 10.24).	consult with any		
Resolution 9.19).	Development of criteria	stakeholder conducting		
<b>UICN</b> recognizes that	to be considered in	Ũ		
IUCN recognizes that lack of scientific certainty		noise-generating activities on how best		
-	order to assess potential			
should not postpone	risks of signal-	practices of avoidance,		
measures to prevent or	generating activities	reduction or mitigation		
reduce potential harmful	(e.g. amplitude, signal	of risk should be		
effects cause by ocean	structure, seasonal	implemented		
noise (UNEP/CMS/	variability in risk	(UNEP/CMS/		
Resolution 9.19).	potential) (UNEP/CMS/ Resolution 10.24).	Resolution 9.19).		
Concern about potential		Where possible negative		
adverse impacts from	Consider real-time	impacts are likely but		
anthropogenic ocean	monitoring during	are difficult to prove, a		
noise on cetaceans and	activity (UNEP/CMS/	precautionary approach		
other biota, and threat to	Resolution 10.24).	is necessary		
cetacean conservation		(UNEP/CMS/		
and welfare	Facilitate collaborative	Resolution 9.19).		
(UNEP/CMS/ Resolution	and coordinated			
10.24).	monitoring and	Need for international,		
ŕ	assessment of local	national and regional		
Need for ongoing and	ambient noise, and	limitation of harmful		
further internationally	further understanding of	underwater noise		
coordinated research on	potential impacts of	through management		
impacts of underwater	noise (UNEP/CMS/	and regulation, where		
noise on cetaceans and	Resolution 9.19).	necessary (UNEP/CMS/		
other migratory species,	,	Resolution 10.24).		
and migratory routes in	Characterization of	<i>,</i>		
order to provide adequate	anthropogenic noise			
protection (UNEP/CMS/	sources and sound			
Resolution 10.24).	propagation for			
	assessment of potential			
	acoustic risks at the			
	species level			
	(UNEP/CMS/			
	Resolution 9.19).			
	<b>Resolution</b> 7.17).			

			Review potential				
			benefits of "noise				
			protection areas"				
			(UNEP/CMS/				
			Resolution 9.19).				
							D'1 1 ' ' '
OSPAR	EU Marine Strategy	Mitigation measures for	Monitoring underwater	Aim to keep levels of	Four-step process for	Use of alternative	Pile driving: pile
	Framework Directive	underwater noise should	noise using sound maps	underwater noise that	modeling underwater	techniques with	diameter, soil
	(MSFD) (2008/56/EC)	be adjusted to match	generated from a	do not adversely affect	noise: 1) A priori	lower sound	structure, blow
	requires all EU Member	specific area- and	combination of	the marine environment	modeling, 2)	emissions or	energy, size of
	States to reach or	project-related	internationally agreed	(OSPAR Agreement	Measurements for	modification of	hydraulic hammer,
	maintain "good	characteristics (OSPAR	procedures for modeling	2015-05).	validation, 3)	operational state of	propagation by
	environmental status" by	Commission 2014).	and measurements		Iteratively combine	noise source (e.g.	compression of the
	2020.		(OSPAR Agreement	Consideration of	modeling and	reducing ship speed)	pile by the hammer
		Reduce source level	2015-05).	monitoring of	measurement, and 4)	(OSPAR	strike, mitigate
	Indicator 11.2.1 of MSFD	and/or propagation of		frequencies other than	Mature predictions	Commission 2014).	radiation into the
	Descriptor 11: ambient	noise to areas and times	Soundscape monitoring:	specified 63 and 125 Hz	that can be used as an		water and seismic
	noise level trends within	of sensitive species	use of arithmetic mean	(OSPAR Agreement	input into the		pathway, use of
	the 1/3 octave bands 65	absence (OSPAR	as it includes all sounds	2015-05).	assessment of "good		alternative
	and 125 Hz measured by	Commission 2014).	and is independent of		environmental status"		foundation types
	observation stations	A	snapshot duration	OSPAR should increase	(OSPAR Agreement		(OSPAR Commission 2014
	and/or with the use of	Analyze occurrence and	(MSFD Technical	efforts to develop,	2015-05).		
	models.	seasonality of sensitive	Group on underwater	review and apply	<b>T</b>		Annex I).
	A	and/or protected marine	noise; OSPAR	mitigation measure to	To prevent injury,		D's hall 1 sector
	Anthropogenic activities	species in areas of	Agreement 2015-05).	reduce impacts of	physical damage and		Big bubble curtains:
	(shipping, military	planned activities (OSPAR Commission	Dumpers of monitoring	anthropogenic underwater noise and	death in marine mammals, use of		hole diameter and distance between
	activities, construction, oil and gas exploration)	2014).	Purpose of monitoring impulsive sound	develop guidance on	Acoustic Deterrent		individual holes,
	increase underwater	2014).	sources: quantify the	best environmental	Devices (ADDs)		reduce air supply,
	sound and can have		pressure these sources	practices and best	and/or Acoustic		use of double bubble
	negative impacts on		exert on marine	available techniques for	Harassment Devices		curtain (OSPAR
	marine life (OSPAR		ecosystems and the	mitigating noise and its	(AHDs) (e.g. pingers		Commission 2014
	Agreement 2015-05).		spatio-temporal	impacts (OSPAR 2010).	or seal scarers) to		Annex I).
	Agreement 2015-05).		distribution of this	impacts (051 Aix 2010).	displace animals from		/ MIIICA 1).
	Recognize that		pressure (OSPAR	Restriction of	an area of harmful		Little bubble
	underwater noise is one		Agreement 2017-07).	anthropogenic	underwater noise		curtains: layered
	under water noise is one		Agreement 2017-07).	antinopogenie	under water noise		cuitanis. layereu

of the main pressures	n		underwater noise to a	(OSPAR Commission	ring systems,
the marine environme		Spatio-temporal	certain level (OSPAR	2014).	confined system,
and noise levels are		assessment unit used:	Commission 2014).	2011).	small bubble
increasing internationa	11x	Pulse Block Day. This is		Use of soft-start or	curtains with three
(OSPAR Commission	lly	the number of days	Predict possible	ramp-up procedures	or more compressors
2014).		within a spatial unit in	underwater noise	to allow marine	(OSPAR
2014).		which anthropogenic	emissions of planned	mammals to escape	Commission 2014
		impulsive sound sources	activities, and the	the area impacted by	Annex I).
		occurred in a given	cumulative effects of	noise (OSPAR	7 milex 1).
		calendar year (OSPAR	noise sources in an area	Commission 2014).	Isolation casings:
		Agreement 2017-07).	(OSPAR Commission	Commission 201 ().	steel casing with
			2014).	Ensure marine	bubble curtain
		Conduct Environmental	2011).	mammal absence	inside, double-
		Impact Assessments	Recognize that industry-	from the area of	walled plastic tube
		with respect to the	wide and individual	impact by using real-	filled with
		planned activity	company practices often	time (preferably)	polyurethane foam
		(OSPAR Commission	supplement national	visual or acoustic	OSPAR
		2014).	guidelines (OSPAR	monitoring with the	Commission 2014
			Commission 2014	aid of marine	Annex I).
		Noise propagation	(2016 Update)).	mammal observer and	
		assessments included in		passive acoustic	Hydro sound
		environmental	Mitigation for seismic	monitoring (OSPAR	dampers/
		assessments (OSPAR	surveys: exclusion	Commission 2014).	"encapsulated
		Commission 2014 (2016	zones, seasonal		bubbles" (OSPAR
		Update)).	restrictions, presence of	Use of Acoustic	Commission 2014
			marine mammal	Deterrent Devices	Annex I).
			observers, pre- and	(ADDs) introduces	
			post-survey observation	additional sound	Vibropiling may
			periods, soft start	particularly at close	have lower noise
			procedure, visual	range which could	levels than impact
			observation during	result in adverse	piling but emits
			operations, shut down	effects including	continuous sound
			procedures, passive	injury and possible	(cannot be directly
			acoustic monitoring,	habituation potentially	compared to
			consideration of	resulting in chronic	impulsive sound)
			simultaneous and	auditory damage	(OSPAR
			cumulative impacts	(OSPAR Commission	Commission 2014

		(OSPAR Commission	2014 (2016 Update)	Annex I).
		2014 (2016 Update)).	Annex II).	Drilled foundations,
		Advise operators to use	Potential alternatives	gravity base
		airgun arrays at the	to seismic airgun	foundations, bucket
		lowest practicable	surveys: marine	foundations, floating
		volume (OSPAR	seismic vibroseis,	wind turbines:
		Commission 2014	"Teles", low-	construction/
		(2016 Update) Annex	frequency acoustic	installation noise,
		II).	sources, deep-towed	may have lower
			acoustic/geophysical	sound emissions
			system, low impact	than pile driving
			seismic array,	(OSPAR
			underwater tuneable	Commission 2014
			organ-pipe,	Annex I).
			electromagnetic	
			surveys, gravity and	Additional noise
			gravity gradiometry,	mitigation concepts:
			shear wave generators	high-frequency low
			(NCE 2007; CSA	energy piling,
			Ocean Sciences Inc.	mandrel piles, slit
			2014; Cambridge	piles (OSPAR
			Applied Physics Ltd.	Commission 2014
			2015).	Annex I).
				Additional low-noise
				foundation concepts:
				silent pile driving
				(OSPAR
				Commission 2014
				Annex I).
				A
				Airguns: higher
				sensitivity
				hydrophones allow
				for the use of lower
				source levels and

Airguns: parabolic reflectors, "poperni shooting" (OSPAR Commission 2014 (2016 Update) Annex II).       Sound baffling: use of air bubbles as screens surrounding the seismic array (Castellote 2007).
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## **Recommendations and guidelines on anthropogenic underwater noise: Governmental Organizations**

Governmental Organization	Awareness	Spatial and temporal mapping/management	Assessment/monitoring recommendations	General recommendations: Mitigation	Specific recommendations: Mitigation	General quieting technology recommendations	Specific quieting technology recommendations
European Union Marine Strategy Framework Directive (MSFD)	Marine mammals and other marine animals rely on sound for basic life functions and is crucial for their success and survival, making them sensitive to noise pollution (EU contribution to UN ICP). Anthropogenic underwater noise is increasing (EU contribution to UN ICP). High-intensity noises such as seismic surveys can cause permanent damage in marine animals, and continuous noises such as shipping may impact their behavior (EU	Criteria for "good environmental status": that the spatial distribution and temporal extent of both anthropogenic impulsive sound levels and anthropogenic continuous low- frequency sound levels in water do not affect populations of marine animals (EU Decision 2017/848).	EU Decision 2017/858 provides methodological standards and specifications for monitoring and assessment for both anthropogenic impulsive and continuous sound. Technical Group on underwater noise (2011), part of the Marine Strategy Framework Directive (MSFD) set up a register of loud impulsive noise and developed a joint monitoring program for continuous noise (EU contribution to UN ICP). Directive 2011/92/EU requires assessment of environmental effects and description of likely significant effects of certain public and private projects before projects are authorized.	MitigationEnsure thatanthropogenic noisecan be maintained atlevels that do notcause harm to marineecosystems (EUcontribution to UNICP).Consider theprecautionaryapproach with regardto existing knowledgegaps (EU contributionto UN ICP).Directive 2008/56/ECof the EuropeanParliament and of theCouncil requires EUMember States toachieve or maintain'good environmentalstatus' of marinewaters by 2020 (basedon 11 qualitativedescriptors, of whichone ensures that the''Introduction ofenergy, including	MitigationEstablish thresholdvalues for bothanthropogenicimpulsive andcontinuous soundthrough cooperation atUnion level (EUDecision 2017/858).AQUO project:assessment andmitigation of noiseimpacts from maritimetransport on marineenvironment, providingpolicy guidelines(aquo.eu).SONIC project:developed tools toinvestigate and mitigateunderwater noiseeffects from shipping(cordis.europa.eu).SILENV project:delivered "green label"proposal includingrecommended targetnoise levels and design	recommendations	0.
	contribution to UN ICP).			underwater noise, is at levels that do not	guidelines (cordis.europa.eu).		

	1 1 00 1		
	adversely affect the		
	marine environment")	COMMON SENSE	
	(EU contribution to	project: provided cost-	
	UN ICP).	effective, multi-	
		functional sensors to	
	Framework	detect in-situ	
	Programme for	measurements of sound,	
	Research and	usable across several	
	Innovation, Horizon	platforms	
	2020, is funding	(commonsense	
	research on adverse	project.eu).	
	impacts of		
	anthropogenic	Current projects of	
	underwater noise on	Horizon 2020 for	
	marine environment	Societal Challenge 4	
	to develop measures	'Smart, Green and	
	for noise reduction	Integrated Transport'	
	(ec.europa.eu/	and Societal Challenge	
	programmes/	3 'Secure, Clean and	
	horizon2020).	Efficient Energy', work	
		on underwater noise	
		mitigate and impact,	
		and marine energy	
		impacts, respectively	
		(ec.europa.eu).	
		BIAS (Baltic Sea	
		Information on the	
		Acoustic Soundscape)	
		project: Estonia,	
		Sweden, Finland,	
		Poland, Germany and	
		Denmark supported a	
		regional assessment of	
		underwater sound and	
		ways to monitor noise	
		across the Baltic Sea	

					(bias-project.eu). HELCOM (Helsinki Commission: Denmark, Estonia, EU, Finland, Germany, Latvia,		
					Lithuania, Poland, Russia, Sweden) EN Noise group: development of underwater noise indicators and defining thresholds value for impulsive and continuous noise,		
					underwater noise guidelines, and mapping ambient noise in the Baltic Sea.		
United States National Oceanic and Atmospheric Administration (NOAA) Ocean Noise Strategy	NOAA Ocean Noise Strategy's goals: 1) NOAA management actions reduce chronic and cumulative effects	Improve management effectiveness for acoustic habitats through incorporation of place- based authorities (NOAA ONS Roadmap).	Establish long-term recording assets, standardized acoustic monitoring and characterization of acoustic habitats (NOAA ONS Roadmap; Hatch et	Development of national guidance for acoustic impact thresholds (NOAA ONS Roadmap). Need for a better	Visual observers for protected species and/or passive acoustic technicians to limit acute impacts (NOAA ONS Roadmap).	Expand existing international partnerships with regulated agencies and industries to promote use of quieter	Use of sound attenuation methods for pile driving (e.g. bubble curtains, pile caps) (NOAA ONS Roadmap).
(NOAA ONS Roadmap; see also: Harrison et al., 2016;	of noise, 2) conduct research to fill critical gaps and best-informed management	Use National Marine Sanctuaries to maintain natural acoustic habitats (NOAA ONS Roadmap).	al., 2016). Enact monitoring requirements for compliance processes	understanding of noise impacts on reproductive success and survivorship to understand	Increase understanding of presence, abundance and distribution of protected species and prey. In addition, their	technologies (NOAA ONS Roadmap).	Implement pilot programs for select shipping companies and select ports, with interests in
Hatch et al., (2016).	decisions, 3) develop publicly available tools to support assessment,	Analyze marine species distributions, develop predictive sound field and exposure modeling for risk assessments and	(NOAA ONS Roadmap). Real-time detection or marine species and anthropogenic activities	population-level impacts (NOAA ONS Roadmap). Maintain lower	vulnerability and noise sensitivity, sound use, auditory thresholds, hearing mechanisms, behavioral sensitivity to		supporting "green ship" development. Pilot programs would evaluate cost- recovery, consider

plannin	o and	mitigation planning	(NOAA ONS Roadmap).	background noise	noise, baseline stress-	integration of
mitigati	-	(NOAA ONS Roadmap).	(itorii orto ito itoaanap).	levels or reduce noise	markers and energetic	quieting goals with
0	enerating	(iterni erts itenunup).	Enhance efficacy and	in areas of high	information to link	other environmental
activitie	•	Cetacean and Sound	transparency of data-	density of acoustically	responses to sound to	protection goals, and
	e public	Mapping Project:	sharing and monitoring	sensitive species	effects on survivorship	develop monitoring
	anding of	develop tools to predict	approaches/reports	(NOAA ONS	and reproductive	and docking
domesti		and map cumulative	(NOAA ONS Roadmap;	Roadmap).	success (NOAA ONS	incentives with
	tional noise	anthropogenic low-	Hatch et al., 2016).	reading).	Roadmap).	participating ports
	s (Harrison	frequency underwater	11aton et al., 2010).	Promote public	rtouunnup).	(NOAA ONS
et a., 20		sound fields to manage	Risk assessment: model	understanding,	Develop mechanisms to	Roadmap; Hatch et
or u., 20	,10).	noise impacts for	sound propagation,	outreach efforts and	detect how multiple	al., 2016).
Increasi	ing human	cetacean species	marine animal sound	engage with	activities might	ul., 2010).
activity	-	(cetsound.noaa.gov;	exposure, ambient sound	stakeholder to ensure	contribute to the	
contribu		NOAA ONS Roadmap).	levels, noise-producing	that noise	cumulative effect on	
	ing levels	rtorn onto Roudinup).	activities, and maintain	management	individuals or a	
	ropogenic	Seasonal/area limitations	standardized database for	implementation plans	population (NOAA	
	ater noise,	to avoid or reduce	all data (NOAA ONS	are effective and	ONS Roadmap).	
and has	· · · · ·	impacts in seasons or	Roadmap).	practicable (NOAA	01 (2 1000000p).	
chronic	· · · · · · · · · · · · · · · · · · ·	areas of biological	F.).	ONS Roadmap).	Consultation authority	
cumula		importance (NOAA ONS	Risk assessments would		can incentivize	
	s on marine	Roadmap).	include consideration of	Develop and support	stakeholders to invest in	
animals			additional health and	international	mitigation techniques	
ecosyst	ems	Combine species	disease risks, where	initiatives to reduce	that could be used near	
(NOAA		distributions, species-	known and applicable to	influence from distant	sensitive or protected	
Roadma	ap; Hatch	specific acoustic	certain species (NOAA	noise sources (NOAA	areas but is currently	
et al., 20	▲ ·	sensitivities and sound	ONS Roadmap).	ONS Roadmap).	limited by staff capacity	
	,	maps to quantify risk	• /		(NOAA ONS	
Marine	Mammal	(NOAA ONS Roadmap).	Evaluation of noise	U.S. National Ocean	Roadmap).	
Protecti	ion Act		impacts should not only	Policy (Executive	-	
(MMPA	A): explicit	Two general solutions	include sound	Order 13547 2010)	Apply consultation	
protecti	ions and	for reducing spatio-	characteristics but other	firmly directs federal	authority regarding	
program	ns for all	temporal overlap of noise	contextual factors (e.g.	agencies to implement	recommendations,	
marine	mammal	and marine animals: 1)	animal's activity state,	ecosystem-based	management goals,	
species,	, stocks and	real-time avoidance of	novelty of a sound,	management.	mitigation efforts and	
their ha	bitat.	overlap of sound and	relative spatial positions		can help incentivize	
		managed species, and 2)	of the sound source and	Measures aimed at	stakeholders to invest in	
Endang	gered	pre-planned larger-scale	receiver) (NOAA ONS	protecting aquatic	new mitigation	

Species Act	avoidance of sound use	Roadmap).	animal populations or	techniques (Hatch et al.,	
(ESA): provide a	in important areas or	110000000000	species of high value:	2016).	
means to conserve	times (NOAA ONS	Monitoring informed by:	Fishery Management		
ecosystems of	Roadmap).	science and previous	Plan action areas,	Underwater Sound	
endangered and		monitoring results,	Essential Fish Habitat,	Field Mapping	
threatened species		understanding of	Cetacean Biologically	Working Group	
and provide a		ecosystem function, and	Important Areas,	(SoundMap): developed	
program for the		existing and ongoing	Endangered Species'	tools to spatially and	
conservation of		studies and programs	Critical Habitat, etc.	temporally map human	
those species.		(NOAA ONS Roadmap).	(Hatch et al., 2016).	sound sources and their	
		(1,0111,0112,110,000,000)		contribution to	
National Marine		Develop transparent	Measures aimed at	underwater ocean noise	
Sanctuaries Act		process to integrate	protecting aquatic	in US waters (Harrison	
(NMSA): protect		incoming monitoring data	areas of high value:	et al., 2016;	
special areas of the		and regularly review and	Regional Marine	cetsound.noaa.gov).	
marine		adapt priority questions	Planning areas,	g//	
environment (e.g.		(NOAA ONS Roadmap).	Habitat Blueprint	Cetacean Density and	
due to their			Focal Areas, National	Distribution Mapping	
conservation,		Noise impact	Resource Damage	Working Group	
ecological,		assessments: identify 1)	Assessment action	(CetMap): provide	
historical,		which species use or	areas, National	regional time- and	
scientific, cultural		produce sound, 2) the role	Marine Sanctuaries,	species-specific density	
qualities).		of sound in their life	etc. (Hatch et al.,	and distribution maps	
•		histories, and 3) the	2016).	for cetaceans in US	
Magnuson-Stevens		species' use of their	,	waters. CetMap also	
Fishery		environment (Hatch et al.,	Develop and support	identified Biologically	
Conservation and		2016).	international	Important Areas (BIAs)	
Management Act			initiatives to reduce	including feeding and	
(MSA): governs		Assessment via modeling	impact from distant	reproductive areas,	
marine fisheries		of entire ecosystems to	noise sources that	migratory corridors,	
management,		ensure that species-	may threaten highly	and areas where small	
fostering long-		specific noise	migratory populations	and resident	
term biological		optimizations also benefit	(Hatch et al., 2016).	populations have been	
and economic		the habitat holistically		found (Harrison et al.,	
sustainability.		(Hatch et al., 2016).	Address physical and	2016;	
			behavioral affects	cetsound.noaa.gov).	
Marine animals		Continued monitoring and	from acute noise		

not only use sound	improvement (with new	exposure through		
to communicate	scientific information) of	noise-reduction		
with conspecifics,	designated Cetacean	techniques, reducing		
but they also hear	Biologically Important	peak pressures or		
and respond to	Areas (Hatch et al., 2016).	short-term		
frequencies of		accumulated energy		
other animals,	Metrics for how noise	(Hatch et al., 2016).		
which may be	influences wildlife should			
outside of the	identify protection targets,	Development of		
frequencies that	with respect to levels of	geospatial noise and		
they themselves	biological effect, rather	noise-producing		
produce (Hatch et	than noise levels as this is	events registry may		
al., 2016).	more relatable for to	help address		
	people and wildlife	cumulative impacts		
Activities	(Hatch et al., 2016).	(Hatch et al., 2016).		
occurring outside				
sanctuary		Protect holistic		
boundaries can		acoustic conditions		
have impacts		that animals rely on		
inside sanctuary		for survival and		
boundaries, which		persistence. This		
is often the case		necessitates		
with noise (Hatch		international re-		
et al., 2016).		investment (Hatch et		
		al., 2016).		

## Selected Best-Practice Publications for Mitigating Anthropogenic Noise

Selected best- practice publications	Awareness	Spatial and temporal mapping/management	Assessment/monitoring recommendations	General recommendations: Mitigation	Specific recommendations: Mitigation	General quieting technology recommendations	Specific quieting technology recommendations
Nowacek et al. (2015)	The expansion of seismic surveys necessitates greater regional and international dialogue, partnerships, and planning to manage potential environmental risks.	Identifies much larger spatial and temporal scales as being required for assessment, given seismic survey operations and potential effects such as masking being important considerations.	Broad scale monitoring approaches are recommended and, given the transboundary nature of noise, international regulatory instrument is recommended (under MARPOL).	Current exposure criteria are insufficient to consider the type and magnitude of acute and chronic impacts from seismic surveys; new approaches considering broader temporal and spatial scales and other effects are needed.	Risk assessment methods for evaluating noise impacts should be considered.	Highlights examples and approaches to noise reduction in other applications. Encourages incentive-based methods to encourage the commercial development and application of quieting technologies.	
Nowacek and Southall (2016).	Identifies a variety of environmental concerns regarding seismic surveys, particularly in sensitive marine habitat areas. Recognizes that there is a long planning horizon and a large degree of predictability in terms of steps and technologies used. Recommends broad	Also identifies that there is a much broader spatial and temporal scale required for evaluating potential impacts from individual seismic surveys and especially overlapping/aggregate survey activity than has previously been identified.	Proposes a comprehensive, iterative, and adaptive process for planning, implementing, and evaluating impacts from seismic surveys. Identifies need for transparency in processes and the need for multi- stakeholder awareness. Monitoring protocols should be developed for	Identifies a structures risk assessment-based approach to monitoring and mitigation with the following elements: * evaluation of risks of proposed actions and alternatives, based on survey characteristics, and environmental and biological/ecological characteristics; identification of mitigation actions,	Specific monitoring and mitigation objectives identified are: * operational implementation of mitigation measures, giving consideration to the timing of the survey and source characteristics; * implementation	Highlights examples and approaches to noise reduction in other applications.	
	practices and specific		all sensitive/protected	including specific	of real-time		

steps within each in assessment, mitigation, monitoring, and evaluation/improvement for responsibly managing seismic surveys.	with real-time mitigation, and should include a comprehensive reporting plan.	mitigation objectives, operational protocols for the detection of sensitive species, and training and coordination for relevant personnel; and * development of monitoring strategy and methods for application before, during, and following operations	mitigation, including written protocols and a dedicated effort by properly trained personnel; and * implementation of monitoring protocols with data validation and archiving, to allow for effective post-survey reporting and evaluation.		
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## REFERENCES

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